

JUL 29 2005

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To:

NAME:	FACSIMILE:	TELEPHONE:
USPTO MS Amendment	(571) 273-8300	<b>FAXED</b> 1-48-02

FROM: Barbara M. Hayashi

DATE: July 29, 2005

Number of pages with cover page:	22	Our Reference 480062003800
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Preparer of this slip has confirmed that facsimile number given is correct: 11487/BMH2

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Comments: RE-SENT CORRECT PAGES

Application No. 10/803,512

(A) Transmittal Form - 1 page; (B) Supplemental IDS Statement - 3 pages; (C)  
PTO/SB/08a/b - 5 pages; (D) Copies of 2 references.

PTO/SB/21 (09-04)

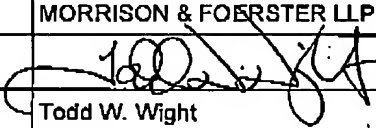
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<h1 style="text-align: center;">TRANSMITTAL FORM</h1> <p style="text-align: center;">(to be used for all correspondence after initial filing)</p>		Application Number	10/803,512
		Filing Date	March 18, 2004
		First Named Inventor	Guy T. ROME
		Art Unit	3763
		Examiner Name	Not Yet Assigned
Total Number of Pages In This Submission	21	Attorney Docket Number	480062003800

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement - 3 pages <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): PTO/SB/08a/b - 5 pages Copy of foreign patent and non-patent literature - 2 documents
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	MORRISON & FOERSTER LLP, Customer No. 25224		
Signature			
Printed name	Todd W. Wight		
Date	July 29, 2005	Reg. No.	45,218

I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office, facsimile no. 571-273-8300, on the date shown below.	
Dated: July 29, 2005	Signature:  (Barbara Hayashi)

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Dated: July 29, 2005

Signature: 

(Barbara Hayashi)

Patent

Docket No. 480062003800

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Guy T. ROME

Serial No.: 10/803,512

Filing Date: March 18, 2004

For: MULTIFUNCTION ADAPTOR FOR AN  
OPEN-ENDED CATHETER

Examiner: Not Yet Assigned

Group Art Unit: 3763

**SUPPLEMENTAL INFORMATION DISCLOSURE  
STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98**

MS Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO/SB/08a/b. Copies of the foreign patent and non-patent literature documents are also submitted herewith. The Examiner is requested to make these documents of record.

oc-301254

This Information Disclosure Statement is submitted:

- ☐ With the application; accordingly, no fee or separate requirements are required.
- ☐ Before the mailing of a first Office Action after the filing of a Request for Continued Examination under § 1.114. However, if applicable, a certification under 37 C.F.R. § 1.97 (e)(1) has been provided.
- ☒ Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required. However, if applicable, a certification under 37 C.F.R. § 1.97 (e)(1) has been provided.
- ☐ After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.
  - ☐ A fee is required. A check in the amount of \_\_\_ is enclosed.
  - ☐ A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.
  - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided above; accordingly, no fee is believed to be due.
- ☐ After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee.
  - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided above and a check in the amount of \_\_\_ is enclosed.
  - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided above and a Fee Transmittal form (PTO/SB/17 is attached to this submission in duplicate.)

Applicants would appreciate the Examiner initialing and returning the Form PTO/SB/08a/b, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

oc-301254

In the unlikely event that the transmittal form is separated from this document and the Patent and Trademark Office determines that an extension and/or other relief (such as payment of a fee under 37 C.F.R. § 1.17 (p)) is required, Applicants petition for any required relief including extensions of time and authorize the Commissioner to charge the cost of such petition and/or other fees due in connection with the filing of this document to Deposit Account No. 03-1952 referencing 480062003800.

Dated: July 29, 2005

Respectfully submitted,

By 

Todd W. Wight

Registration No.: 45,218

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oc-301254

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ALTERNATIVE TO PTO/SB/08a/b (05-03)

Substitute for form 1449/PTO		<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)		Application Number	10/803,512
		Filing Date	March 18, 2004
		First Named Inventor	Guy T. ROME
		Art Unit	3763
		Examiner Name	Not Yet Assigned
Sheet	1	of	5
		Attorney Docket Number	480062003800

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	US-2004/0158208-A1	08-12-2004	Hiejima	
	2	US-2004/0167478-A1	08-26-2004	Mooney et al.	
	3	US-2004/0186444-A1	09-23-2004	Daly et al.	
	4	US-2004/0193119-A1	09-30-2004	Canaud et al.	
	5	US-2005/0049556-A1	03-03-2005	Moorehead et al.	
	6	US-2005/0113805-A1	05-26-2005	Devellian et al.	
	7	US-Re. 31,855	03-26-1986	Osborne	
	8	US-4,000,739	01-04-1977	Stevens	
	9	US-4,198,973	04-22-1980	Millet	
	10	US-4,233,974	11-18-1980	Desecki et al.	
	11	US-4,296,747	10-27-1981	Ogle	
	12	US-4,306,562	12-22-1981	Osborne	
	13	US-4,411,654	10-25-1983	Boarini et al.	
	14	US-4,412,832	11-01-1983	Kling et al.	
	15	US-4,424,833	01-10-1984	Spector et al.	
	16	US-4,430,081	02-07-1984	Timmermans	
	17	US-4,436,519	03-13-1984	O'Neill	
	18	US-4,449,973	05-22-1984	Luther	
	19	US-4,468,224	08-28-1984	Enzmann et al.	
	20	US-4,473,067	09-25-1984	Schiff	
	21	US-4,571,241	02-18-1986	Christopher	
	22	US-4,581,025	04-08-1986	Timmermans	
	23	US-4,591,355	05-27-1986	Hillse	
	24	US-4,596,559	06-24-1986	Fleischhacker	
	25	US-4,610,665	09-09-1986	Matsumoto et al.	
	26	US-4,619,643	10-28-1986	Bai	
	27	US-4,626,245	12-02-1986	Weinstein	
	28	US-4,650,472	03-17-1987	Bates	
	29	US-4,673,393	06-16-1987	Suzuki et al.	
	30	US-4,722,725	02-02-1988	Sawyer et al.	
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	33	US-4,743,265	05-10-1988	Whitehouse et al.	
	34	US-4,747,833	05-31-1988	Kousai et al.	
	35	US-4,753,765	06-28-1988	Pande	
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	37	US-4,784,644	11-15-1988	Sawyer et al.	
	38	US-4,795,426	01-03-1989	Jones	
	39	US-4,798,594	01-17-1989	Hillstead	
	40	US-4,865,593	09-12-1989	Ogawa et al.	
	41	US-4,909,798	03-20-1990	Fleischhacker et al.	
	42	US-4,921,479	05-01-1990	Grayzel	
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	46	US-4,946,133	08-07-1990	Johnson et al.	
	47	US-4,952,359	08-28-1990	Wells	

Examiner Signature		Date Considered	
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ALTERNATIVE TO PTO/SB/08 a/b (05-05)

Substitute for form 1449/PTO			<b>Complete if Known</b>		
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>			Application Number	10/803,512	
			Filing Date	March 18, 2004	
			First Named Inventor	Guy T. ROME	
			Art Unit	3763	
			Examiner Name	Not Yet Assigned	
Sheet	2	of	5	Attorney Docket Number	480062003800

48	US-4,960,412	10-02-1990	Fink
49	US-4,966,588	10-30-1990	Rayman et al.
50	US-4,983,168	01-08-1991	Moorehead
51	US-4,997,424	03-05-1991	Little
52	US-5,007,901	04-16-1991	Shields
53	US-5,035,686	07-30-1991	Crittenden et al.
54	US-5,041,095	08-20-1991	Littrell
55	US-5,053,014	10-01-1991	Van Heugten
56	US-5,064,414	11-12-1991	Revane
57	US-5,066,285	11-19-1991	Hillstead
58	US-5,071,411	12-10-1991	Hillstead
59	US-5,078,688	01-07-1992	Lobodzinski et al.
60	US-5,085,645	02-04-1992	Purdy et al.
61	US-5,092,857	03-03-1992	Fleischhacker
62	US-5,098,392	03-24-1992	Fleischhacker et al.
63	US-5,098,393	03-24-1992	Amplatz et al.
64	US-5,114,408-A	05-19-1992	Fleischhacker et al.
65	US-5,125,904	06-30-1992	Lee
66	US-5,141,497	08-25-1992	Erskine
67	US-5,149,327	09-22-1992	Oshiyama
68	US-5,154,701	10-13-1992	Cheer et al.
69	US-5,158,545	10-27-1992	Trudell et al.
70	US-5,160,323	11-03-1992	Andrew
71	US-5,163,903	11-17-1992	Crittenden et al.
72	US-5,167,634	12-01-1992	Corigan, Jr. et al.
73	US-5,167,637	12-01-1992	Okada et al.
74	US-5,169,393	12-08-1992	Moorehead et al.
75	US-5,171,222	12-15-1992	Euteneuer et al.
76	US-5,180,372	01-19-1993	Vegoe et al.
77	US-5,201,722	04-13-1993	Moorehead et al.
78	US-5,205,834	04-27-1993	Moorehead et al.
79	US-5,211,633	05-18-1993	Stouder, Jr.
80	US-5,215,538	06-01-1993	Larkin
81	US-5,221,263	06-22-1993	Sinko et al.
82	US-5,234,410	08-10-1993	Graham et al.
83	US-5,242,413	09-07-1993	Heiliger
84	US-5,242,430	09-07-1993	Arenas et al.
85	US-5,250,033	10-05-1993	Evans et al.
86	US-5,255,691	10-26-1993	Otten
87	US-5,273,540	12-28-1993	Luther et al.
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89	US-5,275,583	01-04-1994	Cralnich
90	US-5,279,597	01-18-1994	Dassa et al.
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92	US-5,304,142	04-19-1994	Liebl et al.
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94	US-5,320,602	06-14-1994	Kerpiel
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96	US-5,334,157	08-02-1994	Klein et al.
97	US-5,334,187	08-02-1994	Fischell et al.
98	US-5,336,192	08-09-1994	Palestrant

Examiner Signature		Date Considered	
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ALTERNATIVE TO PTO/SB/08a/b (08-03)

Substitute for form 1449/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)		<b>Complete if Known</b>	
		Application Number	10/803,512
		Filing Date	March 18, 2004
		First Named Inventor	Guy T. ROME
		Art Unit	3783
		Examiner Name	Not Yet Assigned
Sheet	3	of	5
		Attorney Docket Number	480062003800

99	US-5,348,537	09-20-1994	Wiesner et al.
100	US-5,350,362	09-27-1994	Stouder, Jr.
101	US-5,350,363	09-27-1994	Goode et al.
102	US-5,364,393	11-15-1994	Auth et al.
103	US-5,368,574	11-29-1994	Antonacci et al.
104	US-5,382,241	01-17-1995	Choudhury et al.
105	US-5,389,090	02-14-1995	Fischell et al.
106	US-5,391,152	02-21-1995	Patterson
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108	US-5,397,311	03-14-1995	Walker et al.
109	US-5,405,323	04-11-1995	Rogers et al.
110	US-5,409,463	04-25-1995	Thomas et al.
111	US-5,409,464	04-25-1995	Villalobos
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113	US-5,413,561	05-09-1995	Fischell et al.
114	US-5,419,340	05-30-1995	Stevens
115	US-5,423,762	06-13-1995	Hillstead
116	US-5,429,616	07-04-1995	Schaffer
117	US-5,437,645	08-01-1995	Urban et al.
118	US-5,441,504	08-15-1995	Pohndorf et al.
119	US-5,453,095	09-26-1995	Davila et al.
120	US-5,460,616	10-24-1995	Weinstein et al.
121	US-5,472,418	12-05-1995	Palestrant
122	US-5,472,435	12-05-1995	Sutton
123	US-5,474,099	12-12-1995	Boehmer et al.
124	US-5,484,401	01-16-1996	Rodriguez
125	US-5,486,960	02-06-1996	Toner
126	US-5,496,346	03-05-1996	Horzewski et al.
127	US-5,501,676	03-26-1996	Niedospial et al.
128	US-5,520,655	05-28-1996	Davila et al.
129	US-5,536,255	07-16-1996	Moss
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132	US-5,613,953	03-25-1997	Patterson et al.
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135	US-5,653,698	08-05-1997	Niedospial et al.
136	US-5,672,158-A	09-30-1997	Okada et al.
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138	US-5,702,370-A	12-30-1997	Sylvanowicz et al.
139	US-5,713,867	02-03-1998	Morris
140	US-5,735,819	04-07-1998	Elliott
141	US-5,741,233	04-21-1998	Riddle et al.
142	US-5,752,937	05-19-1998	Otten et al.
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147	US-5,782,807	07-21-1998	Falvai et al.
148	US-5,782,817	07-21-1998	Franzel et al.
149	US-5,800,414-A	09-01-1998	Cazal

Examiner Signature	Date Considered
oc-300073	

ALTERNATIVE TO PTO/SB/08a/b (05-03)

Substitute for form 1449/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>		<b>Complete if Known</b>			
		Application Number	10/803,512		
		Filing Date	March 18, 2004		
		First Named Inventor	Guy T. ROME		
		Art Unit	3763		
Examiner Name	Not Yet Assigned				
Sheet	4	of	5	Attorney Docket Number	480062003800

150	US-5,843,031	12-01-1998	Hermann et al.
151	US-5,853,393	12-29-1998	Bogert
152	US-5,858,007	01-12-1999	Fagan et al.
153	US-5,879,333	03-09-1999	Smith
154	US-5,885,217	03-23-1999	Gisselberg et al.
155	US-5,895,376	04-20-1999	Schwartz et al.
156	US-5,911,710	06-15-1999	Barry et al.
157	US-5,916,194	06-29-1999	Jacobsen et al.
158	US-5,919,160-A	07-06-1999	Sanfilippo, II
159	US-5,921,968	07-13-1999	Lampropoulos et al.
160	US-5,935,112	08-10-1999	Stevens et al.
161	US-5,944,695	08-31-1999	Johnson et al.
162	US-5,951,518	09-14-1999	Licata et al.
163	US-5,967,490	10-19-1999	Pike
164	US-5,971,958	10-26-1999	Zhang
165	US-6,024,729	02-15-2000	Dehdashtian et al.
166	US-6,027,480	02-22-2000	Davis et al.
167	US-6,033,375	03-07-2000	Brumbach
168	US-6,033,368	03-07-2000	Nordstrom et al.
169	US-6,053,904	04-25-2000	Scribner et al.
170	US-6,074,377	06-13-2000	Sanfilippo, II
171	US-6,083,207	07-04-2000	Heck
172	US-6,086,570	07-11-2000	Aboul-Hosn et al.
173	US-6,088,889	07-18-2000	Luther et al.
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175	US-6,120,476	09-19-2000	Fung et al.
176	US-6,120,480	09-19-2000	Zhang et al.
177	US-6,142,981	11-07-2000	Heck et al.
178	US-6,159,198	12-12-2000	Gardeski et al.
179	US-6,162,196	12-19-2000	Hart et al.
180	US-6,171,281	01-09-2001	Zhang
181	US-6,210,366	04-03-2001	Sanfilippo, II
182	US-6,213,988	04-10-2001	McIvor et al.
183	US-6,221,057	04-24-2001	Schwartz et al.
184	US-6,228,062	05-08-2001	Howell et al.
185	US-6,258,058	07-10-2001	Sanfilippo, II
186	US-6,273,871	08-14-2001	Davis et al.
187	US-6,276,661	08-21-2001	Laird
188	US-6,322,541-B1	11-27-2001	West et al.
189	US-6,331,176	12-18-2001	Becker et al.
190	US-6,338,725	01-15-2002	Hermann et al.
191	US-6,352,520	03-05-2002	Miyazaki
192	US-6,402,723	06-11-2002	Lampropoulos et al.
193	US-6,413,250	07-02-2002	Smith
194	US-6,454,744	09-24-2002	Spohn et al.
195	US-6,458,103	10-01-2002	Albert et al.
196	US-6,494,860	12-17-2002	Rocamora et al.
197	US-6,497,681	12-24-2002	Brenner
198	US-6,508,790	01-21-2003	Lawrence
199	US-6,520,939	02-18-2003	Lafontaine
200	US-6,544,247	04-08-2003	Gardeski et al.

Examiner Signature		Date Considered	
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oc-300073

ALTERNATIVE TO PTO/SB/083/b (05-03)

Substitute for form 1448/PTO		<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>		Application Number	10/803,512
		Filing Date	March 18, 2004
		First Named Inventor	Guy T. ROME
		Art Unit	3763
		Examiner Name	Not Yet Assigned
Sheet	5	of	5
		Attorney Docket Number	480082003800

201	US-6,551,283-B1	04-22-2003	Guo et al.	
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Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
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